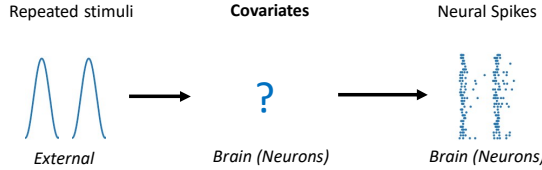


PROBLEM STATEMENT

The recording of neural activity in response to repeated presentations of an external stimulus is an established experimental paradigm in neuroscience. GLMs are commonly used to describe how neurons encode external stimuli, by statistically characterizing the relationship between the covariates and neural activity. The question becomes: *How do we choose appropriate covariates?*



We cast the problem of *learning the covariates* from the data as a **Convolutional Dictionary Learning** (CDL) problem, where the goal is to learn 1) shift-invariant templates from multiple sources and 2) the times when they occur. Our approach is **data-driven** and thus **unsupervised**.

Our contributions are

- Formulate optimization objective with **sparsity constraints**, also accounting for binary nature of spikes
- Propose iterative algorithms to solve the objective, with the key insight that observations need to be iteratively modified

GENERATIVE MODEL

Notations

$y^{j,m} \in \{0,1\}^N$: Spike time-series for Neuron j and trial m

$h_c \in \mathbb{R}^K$: Template from source c

$X_c^j \in \mathbb{R}^{N-K+1}$: Code vector for neuron j and source c

a_j : Baseline firing rate for neuron j

Generative Model

$$y^j \sim \text{Binomial}(M_j, \mu_j), \text{ where } \mu_j = a_j + \sum_c h_c * X_c^j$$

Optimization objective

$$\min_{h_c, X_c^j} \sum_j \sum_c \sum_m - \left(a_j + \sum_c h_c * X_c^j \right) y^{j,m} + \mathbf{1}_N^T \log \left(1 + \exp \left(a_j + \sum_c h_c * X_c^j \right) \right)$$

Such that $X_c^j \geq 0$ and X_c^j is **sparse**

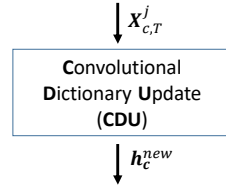
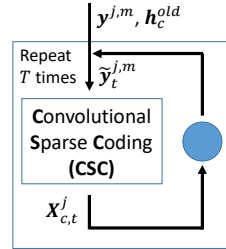
Approach

- We use alternating minimization (or block coordinate descent) to solve for $\{h_c\}_c$ and $\{X_c^j\}_{c,j}$, in an alternative manner.
- To account for **binary-nature** of the data, we *iteratively modify* $y^{j,m}$

ALGORITHMS

Different sparsity constraints \rightarrow Different CSC algorithms

Alternating Minimization



● : Non-linearity

Modified observations

$$\tilde{y}_t^{j,m} = y^{j,m} - \left(1 + \exp(-a_j - \sum_c h_c * X_{c,t}^j) \right)^{-1}$$

- The modification is inspired by the *Iterative Reweighted Least Squares* algorithm for GLM

Two algorithms

1. l_0 pseudo-norm: $\|X_c\|_0$ (BCOMP)

CSC: Extension of **Kernel greedy pursuit** to convolutional setting
CDU: Convolutional K-SVD

2. l_1 norm: $\|X_c\|_1$ (DCEA)

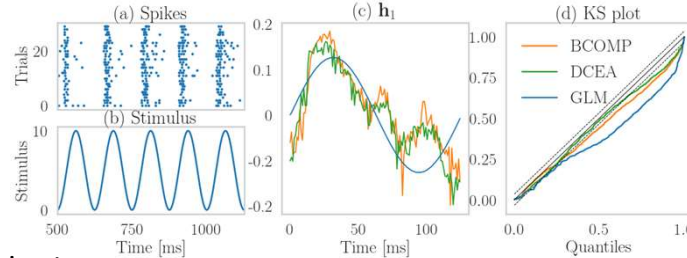
CSC

- Proximal gradient update (Guaranteed convergence)
- Map T iterations of proximal update steps to T layer recurrent neural network (efficiency + scalability)

CDU

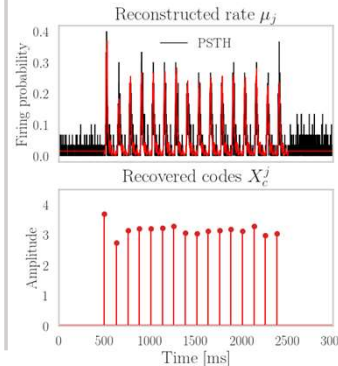
- Backpropagation through the entire network

REAL DATA – BARREL CORTEX



Experiment

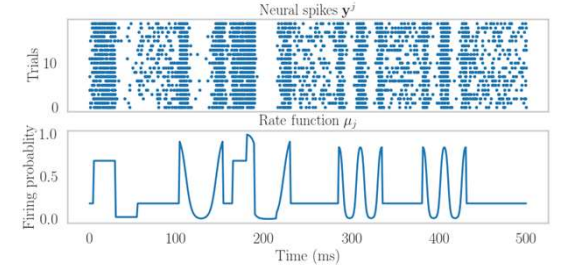
- A whisker is repeatedly (16 times) stimulated with the same stimulus by piezo-electrode, inducing responses in Barrel cortex neurons.



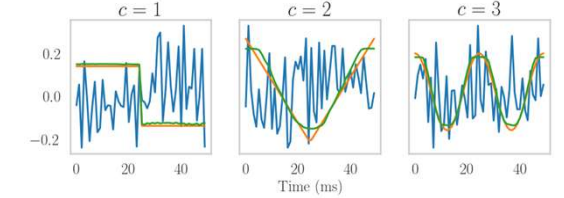
Preliminary results

- Previous GLM analysis requires **hand-crafted** or **parametric function** derived from the stimulus
- The **learned templates** (orange, green) have multiple peaks and different from the **ideal whisker velocity** (blue)
- The goodness-of-fit via KS plot shows that the learned templates explain the data better (closer to 45-degree line)
- For the test data, our framework *accurately identifies the onset times* (red) of 16 stimuli.

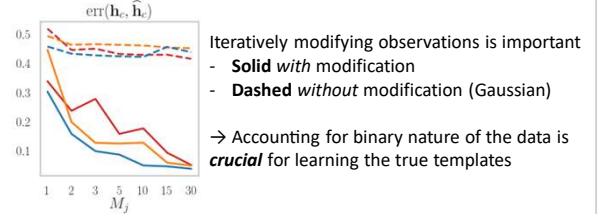
SIMULATION STUDY



An example of neural spikes and the rate (truth) with $a_j = -1.5$



Random initialization for 3 templates (Blue), true templates (Orange), and learned templates after training (Green) \rightarrow **CDL success**



Iteratively modifying observations is important
- **Solid** with modification
- **Dashed** without modification (Gaussian)

\rightarrow Accounting for binary nature of the data is **crucial** for learning the true templates

DISCUSSION & FUTURE WORK

Benefits of our approach:

- Unsupervised approach for discovering optimal covariates for explaining the data
- Accurate identification of the time occurrence

Future work:

- Validation with more behavioral datasets
- Add additional relaxations (onset delays across neurons and constraints (learned covariates are smooth))

References and Related Work:

- [1] Garcia-Cardona C., *IEEE TCI*, 2018
- [2] Mackevicius E.L et. al., *eLIFE*, 2019
- [3] Temereanca S., *J. Neuroscience*, 2008

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Preprint

